

Document Consortium 8-7

8th Meeting of the Consortium

Wednesday 16 November 2022

15:30 to 18:00 CET

Tropitel Hotel (Sharm El Sheikh) and on-line event via Zoom

Financial and human resources: situation end of 2022 and needs for 2023

1) Situation end 2021

At the end of 2021 in Glasgow, an annual budget of 970 k€ for 2022 was proposed and adopted by the Initiative Consortium, including 240 k€ for the organization of regional meetings.

Once again, however, the level of resources has only reached part of this figure. Despite this particular situation, the Initiative has continued its operational implementation. In order to adapt expenses to resources, priority choices were made, but most of the actions in the roadmap were initiated or completed.

ANNUAL BUDGET 2022

| Items | Contents | Details | Cost / unit | Nb | Cost | |
|---|---|--|-------------|----|------------------|------------------|
| Operating costs of the Executive Secretariat | Workspaces, networks and equipment | Annual Costs | 35 000 € | 1 | 35 000€ | |
| | | IT Costs / pers | 6 000 € | 2 | 12 000€ | |
| | | Operational Expenses | 9 000 € | 1 | 9 000 € | |
| | | Overheads (est.) | 74 000 € | 1 | 74 000 € | |
| | | sub-total | | | | 130 000 € |
| | Travel expenses | Executive Secretary | 25 000 € | 1 | 25 000 € | |
| | | Other staff | 7 000 € | 3 | 21 000 € | |
| | | Pres. & Vice-Pres. | 8 000 € | 3 | 24 000 € | |
| | | sub-total | | | | 70 000 € |
| | Staff hired | Polyvalent Assistant | 36 000 € | 1 | 36 000 € | |
| | | Ressource Mob. | 94 000 € | 1 | 94 000 € | |
| | | sub-total | | | | 130 000 € |
| | | TOTAL Operation of the Executive Secrétariat | | | | 330 000 € |
| Organization of statutory meetings: logistical and operational expenses | Forum of Partners | 1 day 400 persons | 50 000 € | 1 | 50 000 € | |
| | Consortium of Members | 1 day 150 persons | 30 000 € | 1 | 30 000 € | |
| | Regional Meetings | 3 days for 150 persons | 120 000 € | 2 | 240 000 € | |
| | Scientific and Technical Committee (16 people - 2 meetings a year over 3 days and 4 nights) | Rooms renting | 3 000 € | 2 | 6 000 € | |
| | | Catering | 2 000 € | 2 | 4 000 € | |
| | | Per diem STC Members | 10 000 € | 2 | 20 000 € | |
| | | Tickets | 20 000 € | 2 | 40 000 € | |
| | TOTAL Meetings | | | | 390 000 € | |
| Communication: actions and tools | Development, design, development and production of communication media | Various supports of communication (book, kakemonos, posters, leaflets, brochures, reports) | 30 000 € | 1 | 30 000 € | |
| | | Maintenance, operation and daily updating of the site and social networks | 110 000 € | 1 | 110 000 € | |
| | Website including the collaborative platform, social networks and the digital resource center | Operation of the collaborative platform and the digital resource center | | | | |
| | | Support and follow up in communication (consultants) | 110 000 € | 1 | 110 000 € | |
| | TOTAL Communication & web site | | | | 250 000 € | |
| TOTAL ANNUAL BUDGET | | | | | 970 000 € | |
| Including Core budget | | | | | 730 000 € | |
| Including expected external contributions (regional meetings) | | | | | 240 000 € | |

a) Expenditures 2022

In 2022, **the main budget available was € 411,376**: the balance of €189,500 in 2021 and €221,876 in contributions over the year from the French Ministry of Agriculture, the Spanish Ministry of agriculture, GIZ, McDonald France and IEVA Group (a contribution from Ird, a French research Institute, was expected but was not received at the time of this report and is not included in this calculation). May these contributors be particularly and warmly thanked for their contributions and commitments in 2022.

In order to implement the 2022 roadmap adopted by the Consortium in November 2021, €357,781 was spent in 2022, as shown in the following table.

| Expenditures | Amount |
|---|------------------|
| Executive Secretariat team (4,8 FTEs between Montpellier, Paris and Bonn) | PM |
| Offices and office equipment for the Executive Secretariat | 57,900 € |
| Resource Mobilization Officer | 80,000 € |
| Travel expenses for the Executive Secretariat and the Presidency | 17,461 € |
| Communication and web consultants and sites | 134,233 € |
| CST (Mpt & SES) and Consortium and Forum Meetings Sharm El Sheikh | 53,074 € |
| Overheads | 25,665 € |
| Total without Overheads | 342,668 € |
| Total including Overheads | 368,333 € |

As of December 31, 2022, taking into account expenses committed but not paid and current expenses for the month of December, **the available balance of the 2022 budget is estimated at approximately €43,043 out of a total budget of €411,376.**

a) Financial and human resources support to the Initiative

In 2022, in addition to the €189,500 remaining from 2021, the French Ministry of Agriculture, the Spanish Ministry of Agriculture, GIZ, McDonald France and IEVA Group contributed respectively for €150,000, €20,200, €44,676, €5,000 and 2,000 to the budget. The contribution from Ird of €50,000 among which €30,000 in cash and €20,000 in support for a workshop in Africa was expected at the time of this report.

At the same time, the French Ministry of Agriculture, the Spanish Ministry of Agriculture, the German Federal Ministry of Food and Agriculture through the German Federal Office for Agriculture and Food, CIRAD, INRAE and the Occitanie region (via Agropolis International), continued their in-kind support (see details in the table below).

| Expenditures | Contribution in kind |
|--|--|
| Executive Secretariat Team | French Ministry of Agriculture (1 Secondment) Spanish Ministry of Agriculture (1 Secondment) Cirad (30% of FTE, evaluated to € 14,000) German Federal Office of agriculture and food (2,5 Secondments) and working and travel environment |
| Offices and office equipment for the Executive Secretariat | Occitanie Region for the offices in Montpellier (via Agropolis International) (€ 10,000) INRAE – offices in Paris until August 2022 (€ 4,000) |
| Total | Valuation In-kind contributions: 4,8 Secondments + € 28,000 |

In 2022, to the €411,376 of financial resources available, should be added the evaluation of contributions in kind at €28,000, **for a total of €439,376.**

2) One-year initiative budget

In the context related to the end of the CoVid 19 pandemic, which has an impact on international travels and associated costs, the level of resources available in 2022, which remains below the level of the budget validated by the Consortium, has not allowed a complete development of the work related to the communication and operation of the Executive Secretariat, which has still not found a response to its needs for an assistant.

As a result, the size of the budget submitted to the Consortium for approval for 2023 has been adjusted by continuing certain tasks to be carried out with the assistance of staff and consultants.

This annual budget, presented on the following page, is divided into three parts:

- Operating costs of the Executive Secretariat: The hosting entity of the Executive Secretariat in Montpellier charges annual hosting fees as well as a lump sum per computer workstation (hardware and service included) provided to the Executive Secretariat. The amount for 2023 is estimated at 86 k€. In addition, as it is the case with all the entities hosted by the Alliance CIAT-Bioversity International, management fees (from 5 to 8%) will apply on expenses (except hosting fees and IT services). The total amount for 2023 is estimated to 30 k€. Only management fees will apply on amounts received in the framework of grants.

Those operating costs of the Executive Secretary include also travels expenses for the team of the Executive Secretariat as well as the presidency (79 k€), and the costs of hired staff (135 k€) in particular for the position of resources mobilization officer. It is important for the Initiative to have the financial resource to fund a fulltime position for that important function which was not the case in the previous year (only 60% of a FTE), as well as a fulltime assistant.

- The cost of organizing the statutory meetings of the Initiative: depending on the possibilities offered by the Members and Partners of the Initiative to host and organize a statutory meeting, this part of the budget may vary considerably. We anticipate a budget of 510 k€, for all the meetings in 2023.

The organization of such a meeting is an excellent opportunity for a Member or a Partner to support the Initiative without contributing directly to its budget. The total cost of these statutory meetings is estimated at 150 k€ (for information, during the previous years, the real available amount represented around 40% of that budget), bearing in mind that each STC meeting costs approximately 35 k€.

In addition, three regional meetings are scheduled in 2023 at a cost of 120 k€ each, with the help of Partners or Members who already committed to contribute to the co-organization of such meetings.

- The costs of communication tools and actions: possible actions will be developed according to the available resources, bearing in mind that the maintenance of the website and the collaborative platform are mandatory and that all actions continued in 2022 (newsletters, social networks, etc.) are to be continued. In the absence of staff made available, the Initiative will continue to use consultants. The communication budget should be in slight increase at 300 k€.

As summarized in the following table, a budget of approximately €780,000, excluding staffing, would allow, on an annual average, to fully implement the usual activities of the Executive Secretariat foreseen in the annual roadmap and to have human resources commensurate with the ambitions.

Additional resources should be added to organize regional meetings at around €120,000 per meeting.

ANNUAL BUDGET 2023

| Items | Contents | Details | Cost / unit | Nb | Cost | |
|---|---|--|--------------------|-----------|--------------------|------------------|
| Operating costs of the Executive Secretariat | Workspaces, networks and equipment | Annual Costs | 34 000 € | 1 | 34 000 € | |
| | | IT Costs / pers | 6 000 € | 2 | 12 000 € | |
| | | Operational Expenses | 40 000 € | 1 | 40 000 € | |
| | | Overheads (est.) | 30 000 € | 1 | 30 000 € | |
| | | sub-total | | | | 116 000 € |
| | Travel expenses | Executive Secretary | 25 000 € | 1 | 25 000 € | |
| | | Other staff | 8 000 € | 3 | 24 000 € | |
| | | Pres. & Vice-Pres. | 10 000 € | 3 | 30 000 € | |
| | | sub-total | | | | 79 000 € |
| | Staff hired | Polyvalent Assistant | 36 000 € | 1 | 40 000 € | |
| | | Ressource Mob. | 94 000 € | 1 | 95 000 € | |
| | | sub-total | | | | 135 000 € |
| | | TOTAL Operation of the Executive Secrétariat | | | | 330 000 € |
| Organization of statutory meetings: logistical and operational expenses | Forum of Partners | 1 day 400 persons | 50 000 € | 1 | 50 000 € | |
| | Consortium of Members | 1 day 150 persons | 30 000 € | 1 | 30 000 € | |
| | <i>Regional Meetings</i> | <i>3 days for 150 persons</i> | <i>120 000 €</i> | <i>3</i> | <i>360 000 €</i> | |
| | Scientific and Technical Committee (16 people - 2 meetings a year over 3 days and 4 nights) | Rooms renting | 3 000 € | 2 | 6 000 € | |
| | | Catering | 2 000 € | 2 | 4 000 € | |
| | | Per diem STC Members | 10 000 € | 2 | 20 000 € | |
| | | Tickets | 20 000 € | 2 | 40 000 € | |
| | TOTAL Meetings | | | | 510 000 € | |
| Communication: actions and tools | Development, design, development and production of communication media | Various supports of communication (book, kakemonos, posters, leaflets, brochures, reports) | 50 000 € | 1 | 50 000 € | |
| | Website including the collaborative platform, social networks and the digital resource center | Maintenance, operation and daily updating of the site and social networks Operation of the collaborative platform and the digital resource center | 125 000 € | 1 | 125 000 € | |
| | | Support and follow up in communication (consultants) | 125 000 € | 1 | 125 000 € | |
| | | TOTAL Communication & web site | | | | 300 000 € |
| TOTAL ANNUAL BUDGET | | | | | 1 140 000 € | |
| <i>Including Core budget</i> | | | | | 780 000 € | |
| <i>Including expected external contributions (regional meetings)</i> | | | | | 360 000 € | |

We would like to encourage contributions to the functioning of the Executive Secretariat. There are many ways in which Members and Partners can contribute through a financial contribution, one or more persons, the organization of a meeting, the organization of a workshop, etc. The Executive Secretariat can help identify the most useful way to contribute. For members and partners, this is an excellent opportunity to support and strengthen the Initiative and contribute to the achievement of its objectives.

For many years, we have managed to run the Initiative as best we could with the budget available each year. This available budget does not usually represent more than 40% of the budget validated annually by the Consortium of members, which does not prevent us from acting, but limits greatly our possibilities to do more, to do better in the service of the "4 per 1000" community and the great causes we defend.

From 2023, the situation will change dramatically. At the modest level of the Initiative, we have, in 2022, taken a major step forward, building on the foundations of the 2050 Strategic Plan validated in 2020, a very ambitious Implementation Plan, articulated in 148 actions in the service of our 24 objectives. We have given substance to the Operational Framework of the Initiative, revitalized the Task Forces launched in late 2020 to build the Implementation Plan, with the task now of moving to action, and finally developed the Strategic Implementation Strategic Cycle of Implementation to facilitate the realization of the actions under the impulse of the Task Forces.

Everything is in order for the design of projects, their implementation through adequate funding and strong partnerships, coalitions and alliances. All that remains is to demonstrate the will: political will to support these projects to support these projects, the will to support their implementation, the will to act to be part of these partnerships and coalitions. All of this now depends on our individual and collective goodwill, so that action translates into results on the ground, to translate commitments and words into action.

This is where things change, because if we remain at our current modest level, we will lack the means to pursue the indispensable activities, the fundamental tasks, which make the Initiative exist, live, develop its influence and advances its objectives. We will not only no longer be able to carry out the "core facilities" entrusted to us by the Initiative's operational framework, but we will also not be able to accompany and support the "global facilities" of the same framework, which are just as important.

Those "global facilities" will require specific funding on a different scale to be implemented on the ground by alliances and coalitions of partners and friends of the "4 per 1000" Initiative with the support of the Task Forces.

We are now beyond the time for reflection, strategy development, and framework documents. We need to find support on a new scale to contribute to the transition to action and to accompany those who want to act as quickly as possible in a concrete way.

This call for support could well be the last one and all that we have built together would be in vain.

We cannot accept this because it would be a huge waste and an inexcusable renunciation. This is why we are calling on all good wills and all support from the most modest to the most important. **There is no small support, there are only supports, and they are all welcome.**

It is proposed that the Consortium approve the budget amount indicated in the table above.

It is also proposed that the Consortium encourage Members and Partners to contribute to the functioning of the Executive Secretariat, and to the funding of "global facilities" regardless of the level of contributions. In particular, the contribution to "resource mobilization" position could have a considerable effect on the sustainability of the Initiative's resources.